### MODEL PREDICTIVE CONTROLLERS FOR A NETWORKED DC SERVO SYSTEM

#### A THESIS SUBMITTED IN PARTIAL FULFILLMENT OF THE REQUIREMENTS FOR THE DEGREE OF

Master of Technology in Control & Automation By

RAMESH CHANDRA KHAMARI

Roll No -211EE3337



### Department of Electrical Engineering National Institute of Technology, Rourkela

Rourkela, Orissa

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Under the guidance of

Prof. BIDYADHAR SUBUDHI



### Department of Electrical Engineering

National Institute of Technology, Rourkela

Rourkela, Orissa

2011-2013



Department of Electrical Engineering National Institute of Technology, Rourkela Odisha, India – 769 008

## CERTIFICATE

This is to certify that the thesis titled "Model Predictive Controllers for a Networked DC Servo System", submitted to the National Institute of Technology, Rourkela by Ramesh Chandra Khamari, Roll No. 211EE3337 for the award of Master of Technology in Control & Automation, is a bona fide record of research work carried out by him under my supervision and guidance.

The candidate has fulfilled all the prescribed requirements.

The Thesis which is based on candidate's own work, has not submitted elsewhere for a

degree/diploma.

In my opinion, the thesis is of standard required for the award of a Master of Technology degree

in Control & Automation.

To the best of my knowledge, he bears a good moral character and decent behavior.

Place: Rourkela Date: Prof. Bidyadhar Subudhi

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#### ABSTRACT

Feedback control systems, wherein the loops used to control the behavior of a plant are closed through a real time communication network, are called networked control systems. Networked Control Systems (NCSs) are one type of distributed control systems where sensors, actuators, and controllers are interconnected by communication networks. The primary advantages of an NCS are reduced system wiring, ease of system analysis and maintenance.

In this thesis, the analysis and design of networked control systems with the communication delay and data loss, which are responsible for degradation of the control performance, are considered. Model predictive control strategies are applied to compensate the communication delay and data loss in the NCS. Studied about TrueTime Simulator and the control strategies are applied to a DC servo system using this TrueTime Simulator with communication delay and data packet loss. Also, the stability and the system performance of the close loop networked control system are analyzed.

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### ACRONYMS

NCS	Network Control System
P2P	Point-to-Point
ZOH	Zero Order Hold
FTC	Fault-Tolerant Control
GSM	Gain Scheduler Middleware
EJS	Easy Java Simulations
MPC	Model Predictive Control
DC	Direct Current
PI	Proportional-Integral
QoP	Quality of Performance
GPC	Generalized Predictive Control
MAC	Media Access Control
CSMA	Carrier Sense Multiple Access
CD	Collision Detection

# **CHAPTER 1**

# INTRODUCTION

Chapter 1 INTRODUCTION

#### **1.1 INTRODUCTION**

In many control systems such as spacecraft, vehicles and plants mainly in chemical plants, communication networks are employed to exchange information and control signals between spatially distributed systems components, like supervisory computers and controllers. In the past decade, Communication networks have revolutionized the way facilities are controlled, in the industrial area. It has allowed high data transfer rates for more efficient data storage, trending, alarming and analysis. The drawbacks that cause continual trouble or distress to the early generations of networks have been solved, for the most part, making them reliable enough to be used in the most critical of applications.

Network-based control has emerged as a topic of significant interest in the control community. It is well known that in many practical systems, the physical plant, sensor, controller, and actuator are difficult to be located at the same place, so we require to transmit the signals from one place to another. In modern industrial systems, these components are often connected over network media (typically digital band-limited serial communication channels), giving rise to the so-called networked control systems (NCSs). Fig.1.1 [1] shows a typical NCS setup and its information flows.

The study of NCSs involves both computer networking and control theory. Feedback control systems, wherein the loops used to control the behavior of a plant are closed through a real-time communication network. The defining feature of an NCS is that information is exchanged using a network among control system components.

NCSs are one type of distributed control systems where actuators, sensors and controllers are interconnected by communication networks. The study of NCSs is an interdisciplinary research area, combining both network and control theory. The traditional communication architecture for control systems is point-to-point, that means a wire is connected to the central control computer with each sensor or actuator point. This change to common-bus introduces different forms of time delay uncertainty between sensors, actuators, and controllers. Most NCS research has focused on two areas: communication protocols and controller design.

The issues that needs to be addressed while designing an NCS include, the delays induced by the network which occurs while exchanging data among devices connected to the shared medium, and packet losses, because of the unreliable network transmission path, where packets not only suffer transmission delays but also lost during transmission.



Fig.1.1. Typical NCS setup and information flows

A challenging problem in control of networked-based system is the effects of network delay. The time require to read a sensor measurement and to send a control signal to an actuator through the network depends on network characteristics such as their topologies, routing schemes, etc. The delay problem is severe when data loss occurs during a transmission. The performance of a networked control system is not only degraded, but also can be destabilized by the delays.

In this project, controllers have been designed to maintain stability of an NCS in the presence of network-induced delay (controller-actuator delay and sensor-controller delay). Different compensation techniques have been proposed to minimize delay's effect.

Chapter 1 | INTRODUCTION

#### **1.2 BACKGROUND**

In NCS background there are network and controller are present. There are several techniques used to transmit information through the network. Nearly all data network systems in use today use binary digits (bits), a series of 1s and 0s, to send information. Messages are assembled into packets with formatting and addressing information, along with the data. The general form of a message packet or frame is a leading header (sometimes called the preamble), the data area (called the payload), and the trailer. The header contains addressing and error checking information, the data area contains the actual data being transmitted, and the trailer contains more error checking and message management information (e.g. parity and stop bits). Parity is a simple error checking method which uses the number of 1s in a byte (even or odd) to determine if the byte was received correctly.

Simplex system provides communication in one direction, all of the time. Half-duplex is bidirectional communication allowed in one direction at any given time, and full duplex is bidirectional transmission in both directions simultaneously. In addition to this, synchronous (clocked) transmissions are timed so that both devices know exactly when a transmission will begin and end, whereas asynchronous (un-clocked) transmissions must mark the beginning and end of messages. Synchronous transmission is usually faster than asynchronous, but the timing issue between two remote machines can introduce problems causing asynchronous transmission to be simpler and less expensive, and therefore more widely used. Asynchronous transmission does, however, introduce extra control bits into a message, which slows actual data rate.

#### **1.2.1** Network control

Networked control system is combination of two engineering fields, computer network and control. We use wired or wireless computer networks. Because NCSs are implemented over a network, a good underlying communication network protocols, such as Token Bus or Token Ring, Ethernet is required to analyze and model the system's characteristic. A Networked Control System (NCS) is a control system wherein the control loops are closed through a real-time network. The feature of an NCS is that control and feedback signals are exchanged among the system's components in the form of information packages through a network.

#### **1.2.2** Point-to-Point Architecture of a Control System

Fig.1.2 shows a control system implemented as a point-to-point (P2P) network. It needs huge wiring connected from sensors to computer and computer to actuators and more over becomes complicated on requirement of setting the physical setup and functionality.



Fig.1.2. Point-to-Point Architecture of a Control System

To remove the above problems posed by centralized control, Networked Control System (NCS) has received considerable attention with advances in control and communication technologies.

#### 1.2.3 Overview of NCS

A networked control system (NCS) is a feedback control system where information from the sensors and the controllers is sent over an electronic communication network [11, 10, 12]. NCSs offer reduced cost and relatively simple implementation, as well as greatly increased flexibility. Network protocols have been designed specifically for use in control systems, but other, more general network protocols are also widely used [15]. Fig.1.3 shows the block diagram of a typical networked control system.



Fig.1.3. Block Diagram of a Networked Control System

NCSs are not without their drawbacks. At best, communication networks can introduce delays, but the network can also introduce time-varying random delays and data packet loss.

#### **1.3 LITERATURE REVIEW ON NCS**

Networked control systems are control systems comprised of the system to be controlled and of actuators, sensors, and controllers, the operation of which is coordinated via a communication network. These systems are typically spatially distributed, and may operate in an asynchronous manner, but operate by coordinating each other to achieve desired overall objectives. Control systems with spatially distributed components have existed for several decades. Examples include control systems in chemical process plants, refineries, power plants, and airplanes. In the past, in such systems the components were connected via hardwired connections and the systems were designed to bring all the information from the sensors to a central location where the conditions were being monitored and decisions were made on how to control the system.

The control policies then were implemented via the actuators, which could be valves, motors, etc. What is different today is that technology can put low-cost processing power at remote locations via microprocessors and that information can be transmitted reliably via shared digital networks or even wireless connections. These technology driven changes are

fueled by the high costs of wiring and the difficulty in introducing additional components into the systems as the needs change.

Traditional control systems composed of interconnected controllers, sensors, and actuators have been successfully implemented using a point-to-point architecture. As an alternative to point-topoint, the common-bus network architecture offers more efficient re-configurability, better resource utilization, and also reduces installation and maintenance cost, which is called networked control systems.

In a NCS, various delays with variable length occur due to sharing a common network medium, which are called network-induced delays. The network-induced delay in NCSs occurs when actuators, sensors and controllers exchange data across the network. Generally, the controlled plant in NCS is assumed to be continuous-time, and thus the actuator implements zero-order hold (ZOH) holding the last control until the next one arrives or until the next sample time. Since networks are used for transmitting the measurements from the plant output to the controller, the plant has to be sampled, which motivates the use of discrete-time controllers. Zhang et al. [7] investigated the problems of stability and stabilization of a class of multi-mode linear discrete time systems.

Today, NCSs are moving into distributed NCSs [8], which are multidisciplinary efforts whose aim is to produce a network structure and components that are capable of integrating distributed sensors, distributed actuators, and distributed control algorithms over a communication network in a manner that is suitable for real-time applications. The controller may be physically placed in a different location from the plant, actuators and sensors, resulting in a distributed control system. The controller can be time driven or event driven, so it can calculate the new control signal at discrete time instants with a constant sample time or it can calculate the control signal immediately once it gets a new measurement from the sensor. In addition, the actuator can be time or event-driven.

The consumer markets have already changed by the networks, mainly the wireless ones. Handheld computers with wireless links through which the computers can communicate, and sensors, such as cameras, are all around us. In the industries, the use of wireless technology is at a very early stage, although it would bring obvious benefits, as wireless networking extends the possibilities of NCS.

With increasing real-life applications for NCS, the real-time secured control is an important issue. This gives rise to a real-time optimization problem and security threat modeling requirement in NCS. Designing a fault-tolerant control (FTC) system for a large-scale complex NCS is still very difficult due to the large number of sensors and actuators spatially distributed on a network. Modifying the control part of the system depending upon the network delay behavior is one way of dealing with the problem. On the other hand, researchers in the field of wireless networking and communication are working to build new protocols which will give the flexibility to the system and make it time independent [9].

A gain scheduler middleware (GSM) is developed by Tipsuwan and Chow to alleviate the network time delay effect on the NCS.

The new information technologies provide great opportunities in control education. The use of remote control labs to teach the behavior of control systems through a network is an application of this. In 2010, a new approach to create interactive networked control labs is described [3]. Two main software tools are used; those are MATLAB and Easy Java Simulations (EJS).

#### **1.4 THESIS OBJECTIVES**

Various control techniques have been developed for network control system but a technique to actively compensation of the random network delay is not available. Our objective is to apply Model Predictive Control (MPC) schemes to compensate the network delay in network control systems. In this thesis, different MPC techniques are to design and these techniques are applied to a networked control direct current (DC) motor and to simulate with TrueTime simulator to illustrate the effectiveness and robustness of the proposed delay modeling and control strategies.

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#### **1.5 CONTRIBUTION OF THIS THESIS**

The major contributions of this thesis are

- Review of the Networked Control System (NCS).
- Study of TrueTime Simulator and its application.
- Application of Model Predictive Controller (MPC) toolbox to a networked DC Motor.
- Design and application of Standard MPC for the networked DC Motor in TrueTime Simulator.
- Design and application of Robust MPC for the networked DC Motor in TrueTime Simulator.
- Analyzing the effectiveness of the designed MPCs in avoiding instability arising out of delays in the networked control plant (DC Motor).

#### **1.6 THESIS ORGANIZATION**

In **Chapter 2**, details regarding delays in NCS and different time delay compensation or control schemes are discussed.

In **Chapter 3**, details about Model Predictive Control (MPC), DC Motor Modeling, MPC toolbox and application of MPC toolbox to DC Motor is discussed.

In **Chapter 4**, studied about TrueTime Simulator, analysis and design of Standard MPC and implementation of this MPC in TrueTime Simulator.

In **Chapter 5**, design and analysis of Robust MPC and implementation in TrueTime Simulator is discussed.

In Chapter 6, the thesis is concluded and scope for future work is discussed.

# **CHAPTER 2**

# **DIFFERENT ISSUES IN NCS**

#### 2.1 INTRODUCTION

In networked control system, there are mainly two problems. First one is the networked induced delays which are induced in the system due to communication channel. Second one is the data packet dropouts due to the node failure and data collision. This chapter contains detail about main issues in the networked control system. In a networked control system the main issue is delay. The effect of this delay is instability and system performance degradation of NCS. In this section many compensation techniques by which delay will compensate are also given.

#### 2.2 DIFFERENT DELAYS IN NETWORK CONTROL SYSTEM

Since an NCS operates over a network, data transfers between the controller and the remote system will induce network delays in addition to the controller processing delay. Fig. 2 shows network delays in the control loop, where r, u and y are reference signal, control signal and output signal respectively, T is sampling period, and k is time index. Most of networked control methodologies use the discrete-time formulation shown in Fig.2.1 [4].



#### Fig.2.1. Block Diagram of Network Control System with Network Delays

Network delays[4] in an NCS can be categorized from the direction of data transfers as the sensor-to-controller delay  $\tau^{sc}$  and the controller-to-actuator delay  $\tau^{ca}$ . The delays are computed as  $\tau^{sc} = t^{cs} - t^{sc}$ ,  $\tau^{ca} = t^{rs} - t^{ce}$  where  $t^{sc}$  is the time instant that the remote system encapsulates the measurement to a frame or a packet to be sent,  $t^{cs}$  is the time instant that the controller starts processing the measurement in the delivered frame or packet,  $t^{ce}$  is the time instant that the main controller encapsulates the control signal to a packet to be sent, and  $t^{rs}$  is the time instant that the remote system starts processing the control signal. In fact, both network delays can be longer or shorter than the sampling time T. The controller processing delay  $\tau^c$  and both network delays can be lumped together as the control delay t for ease of analysis. This approach has been used in some networked control methodologies. Although the controller processing delay  $\tau^c$  always exists, it could be neglected as it is small compared to the network delays.

**Waiting delay**  $(\tau^{w})$ : The waiting time delay is the delay, of which a source (the main controller or the remote system) has to wait for queuing and network availability before actually sending a frame or a packet out.

**Frame time delay**  $(\tau^{f})$ : The frame time delay is the delay during the moment that the source is placing a frame or a packet on the network.

**Propagation delay**  $(\tau^{p})$ : The propagation delay is the delay for a frame or a packet traveling through a physical media.

Generally, the controlled plant in NCS is assumed to be continuous-time, and thus the actuator implements zero-order hold (ZOH) holding the last control until the next one arrives or until the next sample time. Since networks are used for transmitting the measurements from the plant output to the controller, the plant has to be sampled (sample time T), which motivates the use of discrete-time controllers.



Fig.2.2. Timing diagram of network delay propagation

#### 2.2.1 EFFECTS OF DELAYS

The main problem occurred due to the delays in control loop are widely known to degrade system performance and destabilize of a control system by reducing the system stability margin.

The closed loop proportional-integral (PI) control system with delays in Fig.2.4 is used to briefly illustrate system performance degradation by delays in the loop, where R(s), Y(s), U(s) and E(s) are the reference signal, output signal, control input and error signal in Laplace domain [4].

Where, 
$$E(s) = R(s) - Y(s)$$
 (1.1)

The transfer function of the controller and the plant are described as given below:

$$G_{c}(s) = \frac{\beta K_{P}(s + (\frac{K_{I}}{K_{P}}))}{s}$$

$$K_{P} = 0.1701, K_{I} = 0.378$$

$$G_{P}(s) = \frac{2029.826}{(s + 26.29)(s + 2.296)}$$
(1.2)

Where  $G_c(s)$  is a PI Controller,  $\beta$  is the parameter to adjust  $K_P$  and  $K_I$ ,  $K_I$  is the integral gain,  $K_P$  is the proportional gain and  $G_P(s)$  is the plant of DC Motor [16].



Fig.2.3. Closed loop control system with delays

Solving the above closed loop system with  $\beta = 1$  and with various  $\tau$  where  $\tau^{ca} = \tau^{sc} = \tau/2$  are constant. As shown in Fig.2.4, system performance degrades with higher overshoot and

longer settling time when the delays  $\tau^{ca} = \tau^{sc} = \tau/2$  are longer and system becomes unstable with increasing delays.



Fig.2.4. Step response with respect to various  $\tau$  where  $\tau^{ca} = \tau^{sc} = \tau/2$  are constant.

There have been many studies to derive stability criteria for an NCS in order to guarantee that the NCS can remain stable in certain contain.

#### 2.3 TIME DELAY COMPENSATION

The time delays in the NCS may deteriorate the system performance and cause the system instability. Therefore, it is necessary to design a controller which can compensate for the time delays and improve the control performance of the NCS.

Different mathematical, heuristic and statistical-based approaches are taken for delay compensation in NCSs. Several advanced techniques have been presented in literature [6] that compensate network delays and potentially enough to be used in critical real-time applications.

The sensor to controller delay can be known when the sensors data is used by the controller to generate a control signal. In case of controller-actuator delay, the controller does not know how long it will take the control signal to reach actuator. So no exact correction can be made at the time of control calculation.

An estimator can be used to predict an un-delayed plant state and make it available for control calculation. The estimator must estimate all state of the plant using partial state measurements and also compensate for sensor delay. This can be implemented by either full state feedback or output feedback.

In the NCS environment the main goal of the control system is to maintain Quality of Performance (QoP) of the control system regardless of the delays in the network. The system should be robust and be able to compensate the delay induced by the network.

#### 2.4 DIFFERENT TYPES OF TIME DELAY CONTROL SCHEMES

The time delay compensation techniques are used to compensate the time delays causes in the feedback loop. Different types of time delay compensation schemes are given below.

- 1. Model Predictive Controller
- 2. Smith predictor
- 3. PID controller
- 4. Optimal controller
- 5. Fuzzy controller
- 6. Robust control
- 7. Sliding mode controller
- 8. Adaptive controller

In addition to the above methods there are different network control approaches, different software, different platforms and systems are used to control the NCS. These are given below.

**2.4.1 Gain Scheduler Middleware:** A gain scheduler middleware (GSM) is developed by Tipsuwan and Chow to alleviate the network time delay effect on the NCS. Conventionally, in order to control an application over a data network, a specific networked control or tele-operation algorithm to compensate network delay effects is usually required for controller design. So the existing controller has to be redesigned or replaced by a new controller system. The replacement process is generally costly, inconvenient, and time consuming. Gain Scheduler Middleware [2] is a novel methodology to enable existing controllers for networked control and tele-operation. The proposed methodology uses middleware to modify the output of an existing

controller based on a gain scheduling algorithm with respect to the current network traffic conditions. This approach can save much time and investment cost by utilizing existing controller.

**2.4.2** Easy Java Simulations: In 2010, a new approach to create interactive networked control labs [3] is described. This is described by two main software tools that are MATLAB and Easy Java Simulations. MATLAB is a widely used tool in the control community, whereas Easy Java Simulations is a powerful tool, which is used to build interactive applications in Java without special programming skills. The remote labs created by this approach give to students the opportunity to face the effects of network delays on the controlled system and also to specify on the fly their own control algorithm.

EJS is a platform to control NCS with externally connecting MATLAB/Simulink. EJS is a free software tool for rapid creation of applications in Java with high-level graphical capabilities and with an increased degree of interactivity. The applications created by EJS can be standalone Java applications or applets. The source files of the EJS applications are saved in a customized xml format. EJS is different from most other authoring tools in that EJS is not designed to make life easier for professional programmers but has been conceived for science students and teachers.

EJS structures the application in two main parts, the model and the view. The model can be described by means of pages of Java code and ordinary differential equations or by connecting to external applications (such as MATLAB). The view provides the visualization of the application and also the user interface elements required for user interaction. These view elements can be chosen from a set of predefined components to build a treelike structure. Model and view can be easily interconnected so that any change in the model state is automatically reflected by the view, and vice versa.

## **CHAPTER 3**

# MODEL PREDICTIVE CONTROL FOR A NETWORKED DC SERVO SYSTEM

#### **3.1 INTRODUCTION**

Model Predictive Control (MPC) is a type of control in which the current control signal is determined such that a desirable output behavior results in the future. Thus we need the ability to efficiently predict the future output behavior of the system. This future behavior is a function of past inputs to the process as well as the inputs that we are considering to take in the future.

All MPC systems are based on the idea of generating values for process model and other measurements. In MPC structure there is a feedback or feed forward path to compute the process measurements.

There are different forms of MPC are available to make model predictive controller:

- GPC(Generalized Predictive Control)
- Standard MPC
- Modified MPC
- ➢ Robust MPC

#### **3.2 MODEL PREDICTIVE CONTROL STRUCTURE**

There are mainly three components are available in MPC structure

- 1. The process model
- 2. The cost function
- 3. The optimizer

The information about the controlled process and prediction of the response of the process values according to the manipulated control variables are done by the process model. Then the error is reduced by the minimization of the cost function.

In the last step various types of optimization techniques are used and the output gives to the input sequence for the next prediction horizon. The general structure of Model Predictive Controller is shown in Fig.3.1.



Fig.3.1. General Structure of Model Predictive Controller

#### **3.3 CHARACTERISTICS OF MPC**

The main features/characteristics of MPC are [17]

- Moving horizon technique implementation with Control horizon, Prediction horizon and Receding horizon control concepts.
- Performance based time domain formulation.
- > An explicit system model is used for prediction of future plant dynamics.
- > Constraints values can be taken in to consideration.

#### **3.4 ADVANTAGES OF MPC**

There are many advantages of MPC are available, due to which we prefer MPC as a controller in many applications.

- Structural changes are available in this method
- > In this, we can predict how far we wish the future to be predicted for.
- Also the number of parameters used to capture the future control trajectory can be predicted.

- In this tuning method is easy
- ▶ We can handle unstable system and non-minimal phase by this method.

#### 3.5 APPLICATION OF MPC TO NETWORKED DC MOTOR

There are various applications of MPC such as in spacecraft, vehicles, plant mainly in chemical plant and in servo mechanism. In this, MPC is applied to networked DC Servo system.

**MPC toolbox:** Model Predictive Control Toolbox provides functions, an application, and Simulink blocks for systematically analyzing, designing, and tuning model predictive controllers. We can set and modify the predictive model, prediction horizons, control, input and output constraints as well as weights. The toolbox enables us to diagnose issues that could lead to runtime failures and provides advice on changing weights and constraints to improve performance and robustness.

The MPC control strategy was simulated using MPC toolbox which is a MATLAB-based toolbox. The Cost function is given as

$$J = \sum_{i=1}^{N} \left( \sum_{j=1}^{n_{y}} (w_{j}^{y} e_{yij})^{2} + \sum_{j=1}^{n_{u}} \left[ (w_{j}^{u} e_{uij})^{2} + (w_{j}^{\Delta u} \Delta u_{ij})^{2} \right] \right)$$

Where

- N = number of controller sampling intervals in the scenario
- $n_y$  = number of controlled outputs
- $n_u$  = number of manipulated variables
- $e_{yij}$  = set point (or reference) tracking error i.e. the difference between output j and its set point at time step i
- $e_{uij}$  = deviation of manipulated variable j from its target value at time step i
- $\Delta u_{ij}$  = change in manipulated variable j at time step i
- $W_j^y$  = performance weight for output j
- $W_{j}^{u}$  = performance weight for manipulated variable j
- $W_{j}^{III}$  = performance weight for change in manipulated variable j

#### **3.6 DC MOTOR MODELLING**

The equation for the electrical circuit of the DC motor is

$$e_a = L\frac{di_a}{dt} + Ri_a + K_b\omega \tag{2.1}$$

and the mechanical torque is

$$J\frac{d\omega}{dt} + B\omega + T_l = Ki_a \tag{2.2}$$

where  $e_a$  is the armature input voltage, L is the armature inductance,  $i_a$  is the armature current, R is the armature resistance, J is the system moment of inertia, B is the system damping coefficient, K and  $K_b$  are the torque constant and the back emf constant, respectively,  $T_l$  is the load torque and  $\omega$  is the angular velocity of the rotor. The DC motor has a driven load that can be a robot arm or an unmanned electric vehicle. Using  $u = e_a$  as the control signal for the DC motor and introducing two state variables, the armature current and the angular velocity of the rotor, that is

$$x_1 = i_a \tag{2.3a}$$

$$x_2 = \omega \tag{2.3b}$$

The dynamics of the DC motor can be described by the following continuous-time state space description

$$\dot{x}(t) = A_c x(t) + b_c u(t) \tag{2.4a}$$

$$y(t) = C_c x(t) \tag{2.4b}$$

Where  $x(t) = (x_1 \ x_2)^T$  is the system state,  $u(t) \in \mathbb{R}$  is the system input,  $y(t) \in \mathbb{R}^2$  is the system

output, 
$$A_c = \begin{pmatrix} -\frac{R}{L} & -\frac{K_b}{L} \\ \frac{K}{J} & -\frac{B}{J} \end{pmatrix}$$
,  $b_c = \begin{pmatrix} \frac{1}{L} \\ 0 \end{pmatrix}$  and  $C_c = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$  are the system matrices.

#### 3.7 RESULTS AND DISCUSSION

The DC motor control system was simulated using Model Predictive Control (MPC), a simulator developed in MATLAB where the values of the parameters used in simulations are given in Table 1. Here DC motor control system was simulated using MPC with considering network delay effects.

Symbol	Value	Measure unit	Description
J	42.6×10 <sup>-6</sup>	kgm <sup>2</sup>	inertia
L	170×10 <sup>-3</sup>	Н	inductance
R	4.67	Ω	terminal resistance
В	47.3×10 <sup>-6</sup>	N m s/rad	damping coefficient
К	$14.7 \times 10^{-3}$	N m/A	torque constant
K <sub>b</sub>	$14.7 \times 10^{-3}$	V s/rad	back-EMF constant
$T_l$	0	N m	load torque
$e_a^{\min}$	-15	V	minimum armature voltage
$e_a^{\max}$	15	V	maximum armature voltage
$\dot{\boldsymbol{l}}_{a}^{\min}$	-5	А	minimum armature current
$\dot{\boldsymbol{l}}_{a}^{\max}$	5	А	maximum armature current
$\omega^{\min}$	-400	rad/s	minimum angular velocity
ω <sup>max</sup>	400	rad/s	maximum angular velocity

Table.1. DC Motor parameter values

With the application of MPC toolbox to the DC Motor, the Fig.3.2 shows the Angular Velocities with respect to various delays  $\tau$  where  $\tau^{ca} = \tau^{sc} = \tau/2$  are constant. The reference taken is staircase signal. In this figure, it is shown that with MPC, the reference is reached in a short time and with very less overshoot for the first delay. For the second and third delay, performance degrades with increasing settling time with increasing delays but the system is stable using MPC

with all the delays taken which is given in this figure, but the system is unstable without MPC for these delays shown in Fig.3.3.



Fig.3.2. Angular Velocities with respect to various delays with reference to staircase signal using MPC toolbox



Fig.3.3. Angular Velocities with respect to various delays with reference to staircase signal without any controller

The result shown in Fig.3.4 shows the Armature Currents of DC Motor with respect to different delays without any controller with reference to staircase signal. In this figure, it is shown degradations of performance and overshoot of system is increasing with increasing delays.





After applying MPC controller to this, the figure shown in 3.5 shows that Armature Currents reached the reference in a short time with less overshoot compared to without MPC and in above both cases, the time delay is compensated with better performance with MPC.



Fig.3.5. Armature Currents with respect to various delays with reference to staircase signal using MPC toolbox

In above Fig.3.2 to Fig.3.5, the results shown is taken with respect to various delays  $\tau$  where  $\tau^{ca} = \tau^{sc} = \tau/2$  are constant.

## **CHAPTER 4**

# DESIGN AND ANALYSIS OF STANDARD MPC FOR NCS

#### 4.1 DESIGN OF STANDARD MPC

After conversion of Continuous model given in equation (2.4) to Discrete model

$$x_m(k+1) = A_m x_m(k) + B_m u(k)$$
(3.1)

$$y(k) = C_m x_m(k) \tag{3.2}$$

 $x_m(k+1) - x_m(k) = A_m(x_m(k) - x_m(k-1)) + B_m(u(k) - u(k-1))$ 

$$\Delta x_m(k+1) = A_m \Delta x_m(k) + B_m \Delta u(k)$$
(3.3)

Where

$$\Delta x_m(k+1) = x_m(k+1) - x_m(k)$$

$$\Delta x_m(k) = x_m(k) - x_m(k-1)$$

$$\Delta u(k) = u(k) - u(k-1)$$

$$x(k) = \left[\Delta x_m(k)^T \quad y(k)\right]^T$$
(3.4)

Also

$$y(k+1) - y(k) = C_m(x_m(k+1) - x_m(k)) = C_m \Delta x_m(k+1)$$
  
=  $C_m A_m \Delta x_m(k) + C_m B_m \Delta u(k)$  (3.5)

From (3.3) and (3.5),

$$\begin{bmatrix} \Delta x_m(k+1) \\ y(k+1) \end{bmatrix} = \begin{bmatrix} A_m & O_m^T \\ C_m A_m & 1 \end{bmatrix} \begin{bmatrix} \Delta x_m(k) \\ y(k) \end{bmatrix} + \begin{bmatrix} B_m \\ C_m B_m \end{bmatrix} \Delta u(k)$$
(3.6a)

$$y(k) = \begin{bmatrix} O_m & 1 \end{bmatrix} \begin{bmatrix} \Delta \chi_m(k) \\ y(k) \end{bmatrix}$$
(3.6b)

Where  $O_m = [0 \ 0...0]$ 

Equation (3.6) is called augmented model.

The augmented model is calculated from the discrete model.

Assuming that at the sampling instant  $k_i$ ,  $k_i > 0$ , the state variable vector  $x(k_i)$  is available through measurement, the state  $x(k_i)$  provides the current plant information. The future control trajectory is denoted by

 $\Delta u k_i, \Delta u (k_i + 1)..., \Delta u (k_i + N_c - 1)$ 

Where  $N_c$  is called the control horizon.

Let us define

$$Y = [y(k_{i}+1|k_{i}), y(k_{i}+2|k_{i}), ..., y(k_{i}+N_{P}|k_{i})]^{T}$$

$$\Delta U = [\Delta u(k_{i}), \Delta u(k_{i}+1), ..., \Delta u(k_{i}+N_{c}-1)]^{T}$$
(3.7)
(3.7)

Where in the single-input and single-output case, the dimension of Y is  $N_p$  and the dimension of  $\Delta U$  is  $N_c$ .

We can write compact matrix form as

$$Y = F_x(k_i) + \phi \Delta U \tag{3.9}$$

Where,

Assuming that the data vector that contains the set-point information is

$$\boldsymbol{R}_{s}^{T} = \begin{bmatrix} 1 & 1 & . & . & 1 \end{bmatrix} \boldsymbol{r}(k_{i})$$

The cost function is defined as

$$J = (R_s - Y)^T (R_s - Y) + \Delta U^T \overline{R} \Delta U$$
(3.10)

Where  $(R_s - Y)^T (R_s - Y)$  is objective of minimizing the errors and  $\Delta U^T \overline{R} \Delta U$  is consideration given to the size  $\Delta U$ .

- $\bar{R}$  = diagonal matrix =  $r_w I_{N_o \times N_o}$
- $r_w$  = Tuning parameter.

To find the optimal  $\Delta U$  that will minimize J, by using (3.9),

$$\frac{\partial J}{\partial \Delta U} = -2\phi^T (R_s - Fx(k_i)) + 2(\phi^T \phi + \overline{R})\Delta U = 0$$

from which we find the optimal solution for the control signal as

$$\Delta U = (\phi^T \phi + \overline{R})^{-1} \phi^T (R_s - Fx(k_i))$$
(3.11)

And

$$K_{y} = [10...0]_{N_{p}} \left( \phi^{T} \phi + \bar{R} \right)^{-1} \left( \phi^{T} \bar{R}_{s} \right)$$
(3.12)

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$$K_{mpc} = [10...0]_{N_p} (\phi^T \phi + \overline{R})^{-1} (\phi^T F)$$
(3.13)

$$K_{mpc} = \begin{bmatrix} K_x & K_y \end{bmatrix}$$
(3.14)

Equations (3.11) to (3.14) are implemented through MATLAB and TrueTime Simulator and the desired outputs are obtained, which are discussed in next sections.

#### 4.2 SIMULATION ENVIRONMENT

Using the values of the parameters given in Table.1 and the state space model given in equation (2.4) for DC Servo system, the MPC control strategy was simulated using TrueTime simulator.

#### 4.2.1 TRUETIME SIMULATOR

TrueTime Simulator is a very powerful MATLAB-based network simulation toolbox that can effectively simulate real-time NCSs. There are two primary Simulink blocks in the TrueTime package: the computer block and the network block, both being easy to customize in order to obtain a practical NCS.

In the designed NCS simulation platform (see Fig.4.1 and Fig.4.2), the sensors, controller and actuator are implemented using computer blocks and the Ethernet communication network is realized using a network block in which the Media Access Control (MAC) protocol is specified as Carrier Sense Multiple Access with Collision Detection (CSMA/CD).



Fig.4.1. TrueTime Simulink diagram with interfering node

Fig.4.1 shows the TrueTime Simulink diagram with interfering node where the controller used is MPC Controller and Fig.4.2 shows TrueTime Simulink diagram with constant delay.



Fig.4.2. TrueTime Simulink diagram with constant delay

#### 4.3 **RESULTS AND DISCUSSION**



Fig.4.3 shows the output of Angular Velocity and Armature Current without any interfering node

Fig.4.3. Angular Velocity and Armature Current without interfering node

and Fig.4.4 shows the output with the percentage of the network bandwidth occupied by the interfering node was set to 30% and also the simulation was done by taking bandwidth 10% and 20%. It is observed that the outputs are very less effected up to 39% of network bandwidth occupied by interfering node. But when the bandwidth occupied is increased to 40% (shown in Fig.4.5) and more the system outputs become unstable.







Fig.4.5. Angular Velocity and Armature Current with 40% of network bandwidth occupied by interfering node

We also simulated the model by giving constant delay in place of interfering node (shown in Fig.4.2).



Fig.4.6. Angular Velocity and Armature Current with constant delay  $\tau$  =0.0232

The Fig.4.6 shows the Angular Velocity and Armature Current with constant delay  $\tau = 0.0232$ , where the overshoot is increased and by increasing delay that is  $\tau = 0.0627$  which is shown in Fig.4.7 the overshoot is increased and it is stable but it is unstable with close loop system with the same delay without MPC.



Fig.4.7. Angular Velocity and Armature Current with constant delay  $\tau = 0.0627$ 

## **CHAPTER 5**

# DESIGN AND ANALYSIS OF ROBUST MPC FOR NCS

#### 5.1 INTRODUCTION

Robust MPC is a controller where the MPC is designed by solving Linear Programming and taking uncertainties or disturbances and constraints into consideration. It compensates the time varying delays introduced by the communication networks and disturbances.

#### 5.2 DESIGN OF ROBUST MPC

Consider the discrete time constrained non-linear system of the form

$$x_{k+1} = \phi(x_k, u_k) + w_k$$
  
:=  $f(x_k) + g(x_k)u_k + w_k, \quad k \in \mathbb{Z}_+$  (4.1)

Where  $x_k \in X \subseteq \mathbb{R}^n$  is the state,  $u_k \in U \subseteq \mathbb{R}^m$  is the control input and  $w_k \in W \subseteq \mathbb{R}^n$  is an unknown disturbance at the discrete-time instant k.  $\phi, f, g$  are arbitrary nonlinear functions with  $\overline{\phi}(0,0,0) = 0, \phi(0,0) = 0, f(0) = 0$  and g(0) = 0.

Let W be a convex hull of the vertices  $w^e$ , e = 1, ..., E and let  $\lambda_k^e$ ,  $k \in \mathbb{Z}_+$ , be optimization variables associated with each vertex  $w^e$ . Let  $J(\lambda_k^1, ..., \lambda_k^E, \lambda_k)$  be a strictly convex radially unbounded function and let  $J(\lambda^1, ..., \lambda^E, \lambda) \to 0 \Longrightarrow \lambda^e \to 0$  for all e = 1, ..., E and  $\lambda \to 0$  and J(0, ...0, 0) = 0.

Problem 1: At time  $k \in \mathbb{Z}_+$  measure the state  $x_k$  and minimize the cost  $J(\lambda_k^1, ..., \lambda_k^E, \lambda_k)$  over  $u_k, \lambda_k^1, ..., \lambda_k^E$  and  $\lambda_k$ .

In this, the cost function to be minimized is given as

$$J_{1}(x_{k}, u_{k}, \overline{\lambda}_{k}, \lambda_{k}) \coloneqq J_{MPC}(x_{k}, u_{k}) + J(\overline{\lambda}_{k}, \lambda_{k})$$
$$\coloneqq \left\| P_{x}(f(x_{k}) + g(x_{k})u_{k}) \right\|_{\infty} + \left\| Q_{x}x_{k} \right\|_{\infty} + \left\| Ru_{k} \right\|_{\infty} + J(\overline{\lambda}_{k}, \lambda_{k})$$
(4.2)

Where the cost optimization variables  $\overline{\lambda}_k := [\lambda_k^1, ..., \lambda_k^E]^T$  and  $\lambda_k$  is defined as  $J(\overline{\lambda}_k, \lambda_k) := ||\Lambda \overline{\lambda}_k||_{\infty} + |M\lambda|$ , where  $\Lambda$  is full column rank matrix of appropriate dimensions and  $M \in \mathbb{R}_{>0}$ . The matrices  $P_x, Q_x$  and R are full-column rank matrices of appropriate dimensions  $P_x = 0.5I_2, Q_x = 0.1I_2, R = 0.2, \Lambda = 0.1I_2$  and M = 0.1.  $I_2$  is the identity matrix of dimension 2.

The cost function (4.2) is subjected to the following constraints

$$u^{\min} \le u_k \le u^{\max}$$
$$-u^{\Delta} \le \Delta u_k \le u^{\Delta}$$
$$x^{\min} \le x_{k+1} \le x^{\max}$$

Problem 1 which includes minimizing the cost function (4.2), can be reformulated as the problem given below.

Problem 2:

$$\min_{u_k,\bar{\lambda}_k,\lambda_k} ( \boldsymbol{\epsilon}_k^1 + \boldsymbol{\epsilon}_k^2 + \boldsymbol{\epsilon}_k^3 + \boldsymbol{\epsilon}_k^4 )$$

Subject to

$$\pm [P_x(f(x_k) + g(x_k)u_k)]_j + \|Q_x x_k\|_{\infty} \le \in_k^1, \forall j \in \{1, 2...n\}$$
(4.3a)

$$\pm Ru_k \le \in_k^2, \tag{4.3b}$$

$$\pm \Lambda \lambda_k \leq \epsilon_k^3, \tag{4.3c}$$

$$M\lambda_k \le \in_k^4 \tag{4.3d}$$

Problem 2 is a linear program, all constraints are linear in the unknowns  $u_k$ ,  $\overline{\lambda}_k$ ,  $\lambda_k$  and  $\in_k^{1,2,3,4}$ .

The algorithm for this MPC can be summarized as follows;

Algorithm: At each sampling instant  $k \in \mathbb{Z}_+$ ,

Step 1: Measure the current state  $x_k$ .

Step 2: Solve the Linear Programing problem 2 and peak feasible control action  $u^*(x_k)$ .

Step 3: Implement  $u_k := u^*$  as control action.

This algorithm is used for designing of Robust MPC.

#### 5.3 **RESULTS AND DISCUSSION**

After applying this Robust MPC to the DC Servo system given in section 3.6 by adding an extra

affine term  $f_c = \begin{pmatrix} 0 \\ T_l \\ J \end{pmatrix}$  to the state space model and the values of the parameters given in Table.1

using TrueTime Simulator shown in Fig.5.1, the desired output obtained is shown in Fig.5.2.





Fig.5.1. TrueTime Simulink diagram



Fig.5.2. Angular Velocity with reference to staircase signal

This figure shows that the desired output that is angular velocity is reaching the reference input in a short time with very less overshoot that is negligible.

# **CHAPTER 6**

# CONCLUSIONS AND SUGGESTIONS FOR FUTURE WORK

#### 6.1 CONCLUSIONS

This thesis presents study on Network Control System and different type of delays associated with it and also different Network Control Approaches, different Software, Platforms and Systems used for NCS. As observed, communication network introduces time delays in the control loop. These delays have effect on system stability and performance.

The objective of the present work is to study delay compensation schemes in the feedback loop. Here different Model Predictive Control schemes have designed and studied to compensate the network delays in network control systems.

In Chapter 4, the Standard MPC is designed and in Chapter 5, Robust MPC is designed and analyses are done. From these, it is concluded that Standard MPC and Robust MPC both compensating the delays but in Standard MPC there is some overshoot where as in Robust MPC, overshoot is very less which is negligible compare to Standard MPC. The Robust MPC is compensating the delays along with disturbances and constraints.

Also studied about TrueTime simulator and by using this TrueTime simulator the MPCs are simulated and observed the outputs.

#### 6.2 SUGGESTIONS FOR FUTURE WORK

In this thesis, Model Predictive Controllers are designed and applied to DC motor with the help of TrueTime simulator which is a virtual model of real time network controlled system but it is not applied in real time environment. Future work in this direction would involve application of MPC in real time.

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